# IP Cases With a Chemistry Flavour

Dr Robert Whittock, Barrister

26 November 2018

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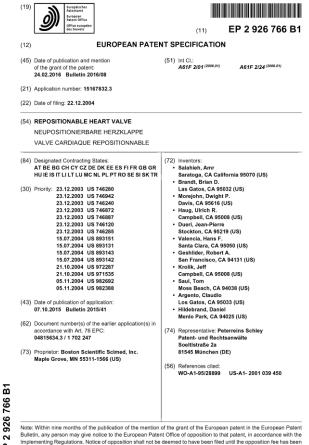
# IP Cases: Chemistry Flavour

Drafting – Edwards Lifesciences<sup>1</sup>

• Priority & Disclosure – Icescape<sup>2</sup>

Obviousness – Actavis v ICOS³

Arrow Direction – Glaxo v Vectura<sup>4</sup>



#### Claim:

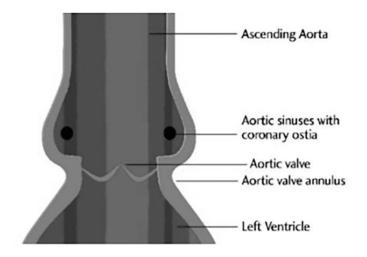
Apparatus for endovascularly replacing a patient's heart valve, the apparatus comprising:

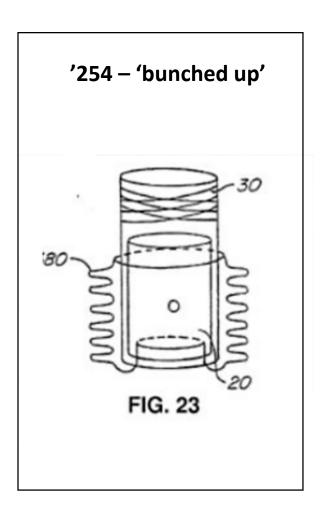
> an expandable cylindrical anchor (30) supporting a replacement valve (20), the anchor (30) having a delivery configuration and a deployed configuration, and at least one sac (200) disposed about the exterior of the anchor (30) to provide a seal.

paid. (Art. 99(1) European Patent Convention)

#### Claim:

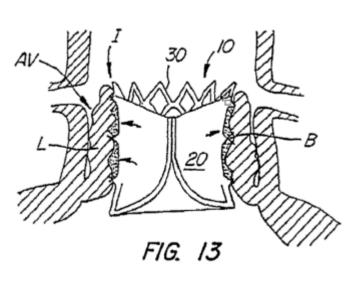
**1.** Apparatus for endovascularly replacing a patient's heart valve, the apparatus comprising:





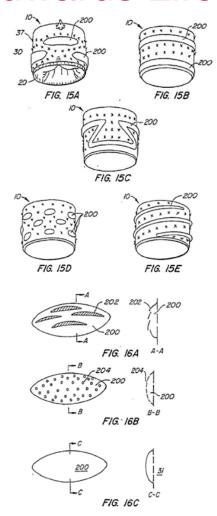
#### Claim:

**1.** Apparatus for endovascularly replacing a patient's heart valve, the apparatus comprising:



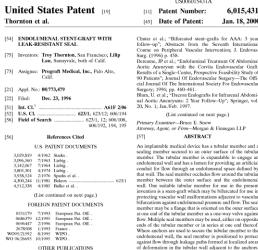
#### Claim:

**1.** Apparatus for endovascularly replacing a patient's heart valve, the apparatus comprising:



#### Claim:

 Apparatus for endovascularly replacing a patient's heart valve, the apparatus comprising:



Katzen, B. et al.; "Initial Experience Performing Combined

Surgical/Interventional Procedures in the Interventional Suite"; Journal Of Endovascular Surgery—The Official Journal Of The International Society For Endovascular

Surgery; 1996; p. 467



6,015,431

Jan. 18, 2000

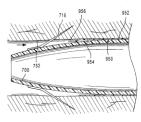
Dereume, JP et al.; "Endoluminal Treatment Of Abdominal Aortic Aneurysm with the Corvita Endovascular Graft, Results of a Single-Center, Prospective Feasibility Study of 90 Patients"; Journal Of Endovascular Surgery—The Official Journal Of The International Society For Endovascular Surgery; 1996; pp. 460-461. Blum, U. et al.; "Dacron Endografts for Infrarenal Abdomi-

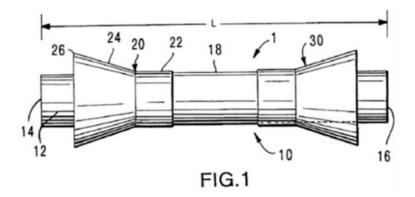
20, No. 1; Jan/Feb. 1997. (List continued on next page.) Primary Examiner-Bruce E. Snow

Attorney, Agent, or Firm-Morgan & Finnegan LLP ABSTRACT

An implantable medical device has a tubular member and a sealing member secured to an outer surface of the tubular member. The tubular member is expandable to engage an endolumenal wall and has a lumen for providing an artificial conduit for flow through an endolumenal space defined by that wall. The seal member occludes flow around the tubular member between the outer surface and the endolumena wall. One suitable tubular member for use in the present invention is a stent-graft which may be bifurcated for use in protecting vascular wall malformations adjacent to vascular bifurcations against endolumenal pressure and flow. The seal member may be a flange that is oriented on the outer surface at one end of the tubular member as a one-way valve against flow. Multiple seal members may be used, either on opposite ends of the tubular member or in series at one end thereof. Where anchors are used to secure the tubular member to the endolumenal wall, the seal member is positioned to protect against flow through leakage paths formed at localized areas of deformation in the tubular wall adjacent to the anchors. One or more seal members may also be used with two or more tubular members to prevent flow through endolumenal spaces that remain open to flow due to gaps between the multiple, parallel tubular members.

23 Claims, 29 Drawing Sheets





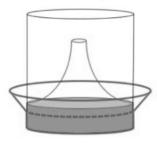
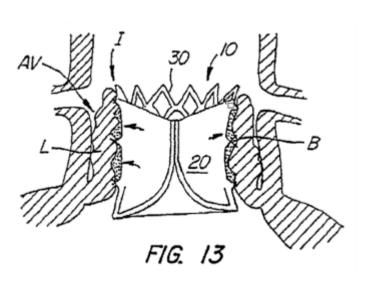


Figure 32: Example of a Thornton seal combined with a THV, showing the seal (red), skirt (blue), stent (black) and valve (green)

#### **Prior Art - Thornton**



#### Claim:

**1.** Apparatus for endovascularly replacing a patient's heart valve, the apparatus comprising:

an expandable cylindrical anchor (30) supporting a replacement valve (20), the anchor (30) having a delivery configuration and a deployed configuration, and at least one sac (200) disposed about the exterior of the anchor (30) to provide a seal.

#### Court of Appeal, 28 March 2018, Floyd LJ:

'49...the requirement for a sac in claim 1 is not so broad as to encompass any cavity...the term "sac"...means a bag-like cavity providing a substantial degree of enclosure'.

'50...one would not get the idea of a sac forming a seal in the deployed configuration from Thornton'.

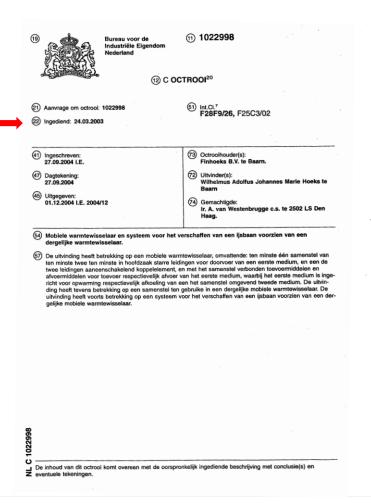
Claim 1 of '766 was valid

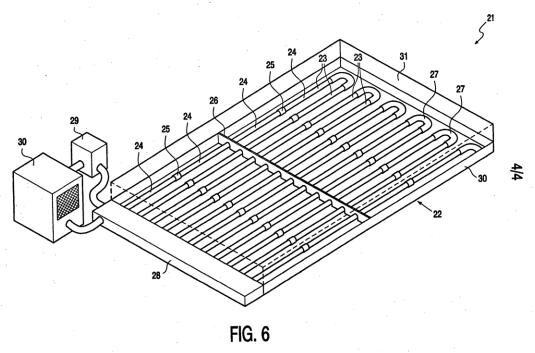




Winter 2003/2004 - prior use Belgium, Netherlands, Germany and Portugal.

De inhoud van dit octrooi komt overeen met de oorspronkelijk ingediende beschrijving met conclusie(s) en





12

EP 1 462 755 B1

**EUROPEAN PATENT SPECIFICATION** 

(45) Date of publication and mention of the grant of the patent: 03.06.2009 Bulletin 2009/23

(51) Int Cl.: F28F 9/26 (2006.01)

(21) Application number: 04075909.4

(22) Date of filing: 22.03.2004

(54) Cooling member for a mobile ice rink

Kühlelement für eine mobile Eisbahn Élément de refroidissement pour une patinoire mobile

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LI LU MC NL PL PT RO SE SI SK TR

(30) Priority: 24.03.2003 NL 1022998

(43) Date of publication of application: 29.09.2004 Bulletin 2004/40

(73) Proprietor: Ice-World International B.V. 3741 LM Baarn (NL)

(72) Inventor: Hoeks, Wilhelmus A. J. M. 3743 DC Baarn (NL)

(74) Representative: van Westenbrugge, Andries Nederlandsch Octrooibureau Postbus 29720

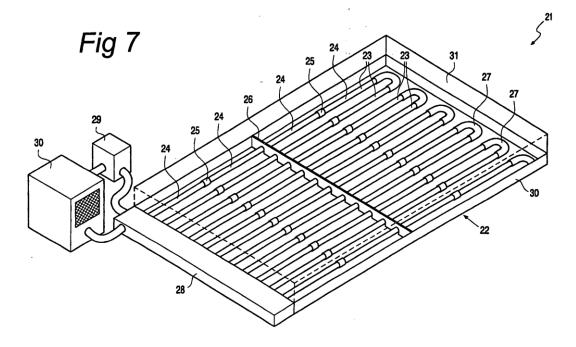
2502 LS Den Haag (NL)

US-B1- 6 253 558

(56) References cited: EP-A- 1 031 809 FR-A- 2 502 896 FR-A- 2 677 262 FR-A- 2 802 292 GB-A- 252 516 GB-A- 2 051 340

 DATABASE WPI Section Ch. Week 199243 Derwent Publications Ltd., London, GB: Class H03 AN 1992-355493 XP002260014 -& SU 1 664 693 A (BELO KIROV TECHN INST) 23 July 1991 (1991-07-23)

US-B1- 6 334 439



Note: Within nine months of the publication of the mention of the grant of the European patent in the European Patent Bulletin, any person may give notice to the European Patent Office of opposition to that patent, in accordance with the Implementing Regulations. Notice of opposition shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

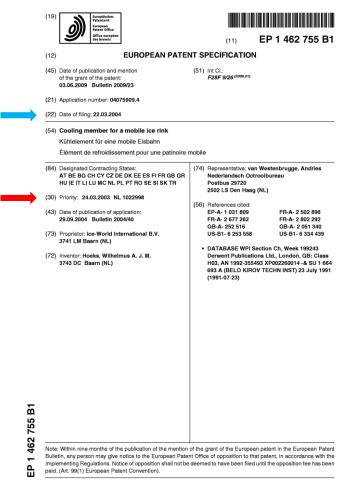
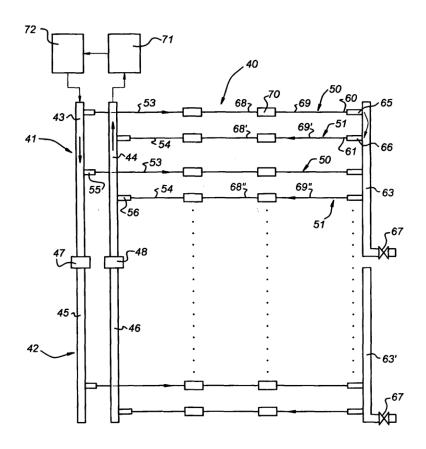


Fig 8







**EUROPEAN PATENT SPECIFICATION** 

(45) Date of publication and mention of the grant of the patent 03.06.2009 Bulletin 2009/23

(51) Int CL: F28F 9/26 (2006.01)

(21) Application number: 04075909.4

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(54) Cooling member for a mobile ice rink Kühlelement für eine mobile Eisbahn Élément de refroidissement pour une patinoire mobile

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LI LU MC NL PL PT RO SE SI SK TR

- (30) Priority: 24.03.2003 NL 1022998
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2502 LS Den Haag (NL)

(56) References cited: EP-A- 1 031 809 FR-A- 2 677 262 GB-A- 252 516 US-B1- 6 253 558

FR-A- 2 502 896 FR-A- 2 802 292 GB-A- 2 051 340 US-B1- 6 334 439

 DATABASE WPI Section Ch. Week 199243 Derwent Publications Ltd., London, GB: Class H03 AN 1992-355493 XP002260014 -& SU 1 664 693 A (BELO KIROV TECHN INST) 23 July 1991 (1991-07-23)

1. Cooling member (21, 40) for a mobile ice rink provided with:

- a feed manifold (43, 45) extending in a transverse direction and a discharge manifold (44,
- a number of longitudinal pipes (50, 51) which extend transversely to the manifolds and can be connected at a first end (53, 54) to a manifold (43, 44, 45, 46), two longitudinal pipes (50, 51) in each case being in fluid communication with one another at a second end (60, 61) via a connector (27, 63), so that a fluid path is formed from the feed manifold (43, 45) to the discharge manifold (44, 46) via the two connected longitudinal pipes (50, 51),

#### characterised in that

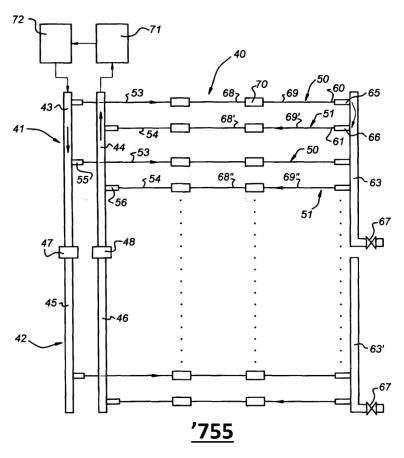
the cooling member comprises at least two elements (41, 42), each with a feed manifold (43, 45) and discharge manifold (44, 46) and a number of longitudinal pipes (50, 51) connected thereto, wherein each longitudinal pipe comprises at least two rigid pipe sections (3, 12, 16, 24, 68, 69) that are connected to one another via a joint member (4, 13, 17, 25, 70, 132, 133) such that they are fluid-tight, and wherein by moving the joint members (4, 13, 17, 25, 70, 132, 133) a first series of parallel pipe sections (68, 68', 68") can be placed in a transport position with respect to a second series of parallel pipe sections (69, 69', 69") connected thereto, in which transport position the two series of pipe sections (68, 68', 68"; 69, 69', 69") are at an angle with respect to one another or are positioned on top of one another, and can be placed in an operational position in which the two series of pipe sections (68, 68', 68"; 69, 69', 69") extend in the extension of one another,

wherein the first and the second element (41, 42) can be placed in the operational position alongside one another such that the feed and discharge manifolds (43, 44, 45, 46) of the elements extend in the extension of one another in the transverse direction,

wherein the feed and discharge manifolds of the two elements are provided with a coupling member (47. 48) to make a fluid-tight connection between the respective feed and discharge manifolds of the first and the second element.

Note: Within nine months of the publication of the mention of the grant of the European patent in the European Patent Bulletin, any person may give notice to the European Patent Office of opposition to that patent, in accordance with the Implementing Regulations. Notice of opposition shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

Fig 8



# **Court of Appeal** 10 October 2018 Lord Kitchin:

- Joint elements (70) novel & inventive feature
  - Enabled folding of the unit
- Skilled person appreciate 2 x figure 6 to width BUT No express or implicit disclosure of:
  - two joined together OR
  - features A, D or E of Claim 1
- Claim to priority must fail.
- Common ground use prior to date of application.

'755 Patent Invalid



Europäisches Patentamt

European Patent Office



(12)

#### **EUROPEAN PATENT SPECIFICATION**

- (45) Date of publication and mention of the grant of the patent: 15.10.2003 Bulletin 2003/42
- (21) Application number: 00926367.4
- (22) Date of filing: 26.04.2000
- - (86) International application number: PCT/US00/11129
  - (87) International publication number

(51) Int Cl.7: A61K 31/4985, A61P 15/10

WO 00/066099 (09.11.2000 Gazette 2000/45)

(54) COMPOSITION COMPRISING PHOSPHODIESTERASE INHIBITORS FOR THE TREATMENT OF SEXUAL DYSFUNCTION

ZUSAMMENSETZUNG ZUR BEHANDLUNG SEXUELLER FUNKTIONSSTÖRUNGEN DIE PHOSPHODIESTERASE-5 INHIBITOREN ENTHALTEND

COMPOSITION COMPRENANT DES INHIBITEURS DE LA PHOSPHODIESTERASE POUR TRAITER LES DISFONCTIONNEMENTS SEXUELS

- (84) Designated Contracting States: AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE Designated Extension States: AL LT LV MK ROSI
- (30) Priority: 30.04.1999 US 132036 P
- (43) Date of publication of application: 23.01.2002 Bulletin 2002/04
- (73) Proprietor: Lilly Icos LLC Wilmington, DE 19801 (US)
- (72) Inventors:
- PULLMAN, William, Ernest Carmel, IN 46032 (US)
- · WHITAKER, John, Steven Woodinville, WA 98072 (US)
- (74) Representative: Richardson, Kate et al Forrester & Boehmert, Pettenkoferstrasse 20-22 80336 München (DE)

- (56) References cited: WO-A-00/53148 WO-A-97/03675
- WO-A-95/19978 WO-A-99/59584
- DATABASE WPI Section Ch, Week 200029 Derwent Publications Ltd., London, GB; Class B02, AN 2000-339026 XP002152606 & WO 00 20033 A (EISAI CO LTD), 13 April 2000 (2000-04-13)
- ISRAEL M: "VIAGRA: THE FIRST ORAL TREATMENT FOR IMPOTENCE" PHARMACEUTICAL JOURNAL, PHARMACEUTICAL SOCIETY, LONDON,GB, vol. 261, 1 August 1998 (1998-08-01), pages 164-165, XP000919343 ISSN:
- . GOLDENBERG M M: "SAFETY AND EFFICACY OF SILDENAFIL CITRATE IN THE TREATMENT OF MALE ERECTILE DYSFUNCTION" CLINICAL THERAPEUTICS, US, EXCERPTA MEDICA, PRINCETON, NJ, vol. 20, no. 6, 1998, pages 1033-1048, XP000853855 ISSN: 0149-2918





Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention)





#### **NEW EUROPEAN PATENT SPECIFICATION**

- (45) Mention of the grant of the patent: 15.10.2003 Bulletin 2003/42
- (45) Date of publication and mention of the limitation decision: B3-2 25.03.2015 Bulletin 2015/13
- (21) Application number: 00926367.4
- (22) Date of filing: 26.04.2000
- (54) COMPOSITIONS COMPRISING PHOSPHODIESTERASE INHIBITORS FOR THE TREATMENT OF SEXUAL DISFUNCTION

ZUSAMMENSETZUNG ZUR BEHANDLUNG SEXUELLER FUNKTIONSST?RUNGEN DIE PHOSPHODIESTERASE-5 INHIBITOREN ENTHALTEND

COMPOSITION COMPRENANT DES INHIBITEURS DE LA PHOSPHODIESTERASE POUR

- (84) Designated Contracting States: AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE Designated Extension States: AL LT LV MK RO SI
- (30) Priority: 30.04.1999 US 132036 P
- (43) Date of publication of application: 23.01.2002 Bulletin 2002/04
- (60) Divisional application: 03023276.3 / 1 415 652
- (73) Proprietor: ICOS Corporation Bothell, WA 98201 (US)
- (72) Inventors:

- PULLMAN, William, Ernest Carmel, IN 46032 (US)
- · WHITAKER, John, Steven Woodinville, WA 98072 (US)
- (74) Representative: Suarez-Miles, Ana Sanchiz et al Eli Lilly and Company Limited **European Patent Operations** Lilly Research Centre, Erl Wood Manor Sunninghill Road Windlesham, Surrey GU20 6PH (GB)

- (51) Int Cl.: A61K 31/4985 (2006.01) A61P 15/10 (2006.01)
- (86) International application number: PCT/US2000/011129
- (87) International publication number: WO 2000/066099 (09.11.2000 Gazette 2000/45)

TRAITER LES DISFONCTIONNEMENTS SEXUELS

- (56) References cited: WO-A-00/53148 WO-A-95/19978 WO-A-97/03675 WO-A-99/59584
  - · DATABASE WPI Section Ch, Week 200029 Derwent Publications Ltd., London, GB; Class B02, AN 2000-339026 XP002152606 & WO 00 20033 A (EISAI CO LTD), 13 April 2000 (2000-04-13)
  - ISRAEL M: "VIAGRA: THE FIRST ORAL TREATMENT FOR IMPOTENCE" PHARMACEUTICAL JOURNAL PHARMACEUTICAL SOCIETY, LONDON, GB, vol. 261, 1 August 1998 (1998-08-01), pages 164-165, XP000919343 ISSN: 0031-6873
  - . GOLDENBERG M M: "SAFETY AND EFFICACY OF SILDENAFIL CITRATE IN THE TREATMENT OF MALE ERECTILE DYSFUNCTION" CLINICAL THERAPEUTICS.US.EXCERPTA MEDICA. PRINCETON, NJ, vol. 20, no. 6, 1998, pages 1033-1048, XP000853855 ISSN: 0149-2918

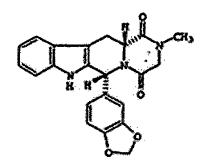




Amended 25.03.15 in the IPO

#### '181 Claims:

1. A pharmaceutical unit dosage composition comprising 1 to 5mg of a compound having the structural formula:

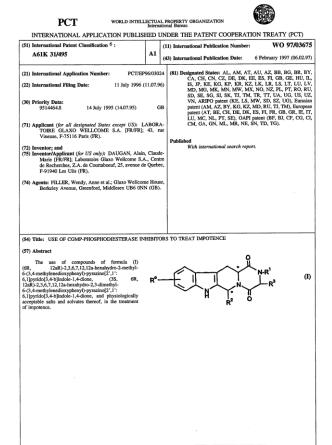


- 7. The dosage form of claim 6 wherein the condition is a sexual dysfunction.
- 10. Use of a unit dose containing 1 to 5mg of a compound having the structure...





Amended 25.03.15 in the IPO



Prior Art - Daugan

#### **Obviousness:**

Inventive step – not obvious to a skilled person having regard to the state of the art

#### Daugan discloses:

- the idea of using PDE5 inhibitors for ED
- 2 compounds (A + B). A = tadalafil
- Example of 50mg dose tablet
- Doses of A + B 0.5 to 800mg per day



(51) International Patent Classification <sup>6</sup> :		(11) International Publication Number: WO 97/03675
A61K 31/495	A1	(43) International Publication Date: 6 February 1997 (06.02.97
(21) International Application Number: PCT/EP9 (22) International Filing Dute: 11 July 1996 (1 (30) Priority Data: 9514464.8 14 July 1995 (14.07.95) (71) Applicant (for all designated State except US): L/TORE GLANO WELLCOME S.A. [FR/FR]. (Thicsus, F-75116 Paits (FR). (72) Inventor: Applicant (for US only): DAIGAN, All (Sh. FR/FR]. Lindontion Gliaxo Wellcome S.A. de Recherches, Z.A. de Courtaboeuf, 25, avenue de F-91940 Let Ulis (FR). (74) Agents: FILLER, Wendy, Anne et al.; Gliaxo Wellcome Berkeley Avenue, Greenford, Middleser UB6 (NN)	GABORA 43, ru Claude ,, Centr Quebe	CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, II SI, P, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV MD, MG, MK, NN, MW, MOX, NO, NZ, PI, -PT, RO, RI SN, AERPO patent (RE, LS, MW, SD, SZ, CUG, Brassis patent (AM, AZ, BY, KG, KZ, MD, RU, TI, TM), Europe patent (AT, BE, CH, De, DK, ES, FI, PR, GB, GR, EE, TL LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, C M, GA, GN, ML, MR, NE, SN, TD, TO).
(\$4) Title: USE OF CGMP-PHOSPHODIESTERASE INF (\$7) Abstract The use of compounds of formula (I) (\$61, 128.P.3.5.6.7,12.128-beathydro-2-methyl- 6-(3.4-methylenedioxyphenyl-)-pyrazino[2*,1**] (-6.13.4-methylenedioxyphenyl-)-y-pyrazino[2*,1**] (-6.13.4-methylenedioxyphenyl-)-y-pyrazino[2*,1**] (-6.4-methylenedioxyphenyl-)-y-pyrazino[2*,1**] (-6.4-methylenedioxyphenyl-y-pyrazino[2*,1**] (-6.4-methylenedioxyphenyl-y-pyrazino[2*,1**] (-6.4-methylenedioxyphenyl-y-pyrazino[2*,1**] (-6.4-methylenedioxyphenyl-y-pyrazino[2*,1**] (-6.4-methylenedioxyphenyl-y-pyraz	R°-	RS TO TREAT IMPOTENCE  O N-R <sup>1</sup> R <sup>2</sup> (I

Prior Art - Daugan

#### **Before Birss J.**

C contended '181 claims are obvious over Daugan:

- Dose ranging studies to find minimal effective dose
- 5mg dose would be obvious

D contended '181 claims not obvious over Daugan:

- Dose as low as 5mg
- Advantages of efficacy and low side effects
- Skilled team embarking on clinical project would have:
  - No reasonable prospect of success as to outcome
  - No reasonable basis for 5mg dose being efficacious
  - No reasonable basis for its benefits
  - Used higher doses for Phase II trials

Claims 1, 3-11 - Valid and infringed

#### Hardwicke

Appeal to Supreme Court
Heard 19-20 November 2018

### Actavis v Icos

#### Court of Appeal, 1 November 2017, Kitchin L.J.:

- **Pozzoli** requires the identification of the difference between the disclosure of the prior art and the claims in issue:
  - Claim 1 Daugan does not disclose a tablet containing 5mg of tadalafil.
  - Claims 7 and 10 Daugan does not disclose 5mg daily dose of tadalafil or that such a dose is an effective treatment for sexual dysfunction.
- **MedImmune** court must have regard to all the circumstances of the case when asking: was it obvious to the skilled but unimaginative addressee in light of the prior art and the common general knowledge to make a product...failing within the claim?
- Generics v Novartis CoA gave guidance as to relevance of whether a particular route
  was obvious to try and skilled team's expectation of success.
- **Claim 1** entirely obvious in light of Daughan.
- Claims 7 and 10 obvious 'the claimed invention lies at the end of the familiar path through the routine pre-clinical and clinical trials' process'.

# Glaxo Group v Vectura Ltd

#### Ellipta (inhalation)

- Asthma and COPD
- Vectura 5 patents, more to follow
- GSK commercial uncertainty:
  - Seek Arrow Declaration



# Glaxo Group v Vectura Ltd

#### Ellipta (inhalation)

- Arrow Declaration being sought by GSK:
- (a) [GSK's] Processes, namely a process in which
  - lactose monohydrate and magnesium stearate are first blended together to produce a lactose/magnesium stearate pre-blend ("preblend"),
  - (ii) micronized active ingredient combined with a portion of the Pre-Blend is then sieved to de-lump the active ingredient, and
  - (iii) the remaining Pre-Blend is added and the whole batch further blended to produce an homogeneous blend, was obvious as of 30 November 2000 or at any date thereafter, and

# Glaxo Group v Vectura Ltd

#### Ellipta (inhalation)

- Arrow Declaration being sought by GSK:
- (b) [GSK's] Products insofar as they are the direct products of [GSK's] Processes (and save for the active ingredient therein, were <u>obvious as of 30 November 2000 or at any date thereafter.</u>

Court of Appeal June 2018 – reversed decision of HHJ Hacon to strike out Arrow Declaration

**High Court Trial – listed for ca. 19 November 2018** 

# IP Cases: Chemistry Flavour

Drafting – Edwards Lifesciences<sup>1</sup>

Choice of words is very important

Priority & Disclosure – Icescape<sup>2</sup>

Disclose invention in priority document

Prior use could invalidate the patent application if priority is lost

Obviousness – Actavis v ICOS³

**Test for inventive step** 

Arrow Direction – Glaxo v Vectura<sup>4</sup>
 May provide commercial certainty

# Thank you! Any questions?

robert.whittock@hardwicke.co.uk

### References

1. Edwards Lifesciences LLC v Boston Scientific Scimed Inc [2018] F.S.R.29

2. Icescape Ltd v Ice-World International BV [2018] EWCA Civ 2219

3. Actavis Group PTC EHF v ICOS Corp [2017] EWCA Civ 1671

4. Glaxo Group Ltd v Vectura Ltd [2018] EWCA Civ 1496